



Dear Valued Broker:

Below you will find a list of documents we need in order for you to arrange transportation for Van Groningen and Sons produce as an approved Broker.

- 1) - Carrier Profile (Page 2)
- 2) - Signed Contract
- 3) - Copy of Signed W9 Form
- 4) - Copy of Your Operating Authority
- 5) - Insurance Certificate (\$750,000 Auto Liability + \$100,000 Cargo Insurance)

Make Certificate to:

Van Groningen & Sons, Inc
15100 S Jack Tone Road
Manteca, CA 95336

NOTE: We must have copies of the above documents in order to pay for any services provided.

EMAIL: VeronicaR@vgandsons.com

FAX: 1 - 888 - 611 - 0032

When a load is completed please mail original to:
Van Groningen & Sons, Inc
15100 South Jack Tone Road
Manteca, CA 95336

- Invoice
- BOL/POD
- Lumper Receipt
- Van Groningen rate conf.

VAN GRONINGEN AND SONS, INC

Phone: 209-982-5248 Fax: 888-265-1167 Email: dispatch@vgandsons.com



OPERATING INFORMATION

ICC/MC NUMBER	
US DOT #	
CA #	
SCAC CODE	
FEDERAL TAX ID	

COMPANY INFORMATION

CARRIER NAME	
PHYSICAL ADDRESS	
MAILING ADDRESS	
CITY	
STATE	
ZIP	
CONTACT NAME	
PHONE NUMBER	
AFTER HOURS	
CELL PHONE	
FAX	
EMAIL ADDRESS	

VAN GRONINGEN AND SONS, INC

Phone: 209-982-5248 Fax: 888-265-1167 Email: dispatch@vgandsons.com



This agreement ("Agreement") is made and intended to be effective this (the) _____ day of _____, **2021** by and between _____, having offices at _____ ("BROKER") and **VAN GRONINGEN & SONS, INC**, having offices at **15100 SOUTH JACK TONE ROAD MANTECA. CA 95336** ("SHIPPER"); individually "Party" and collectively, the "Parties"

RECITALS

- A. BROKER is licensed as a Property Broker by the Federal Motor Carrier Safety Administration (FMCSA) in Docket Number MC-_____, or by appropriate State agencies, and as a licensed broker, arranges for freight transportation. A copy of Broker's authority is attached as Appendix A, and a copy of Broker's Surety Bond or trust fund agreement is attached as Appendix B, (if not attached, BROKER will provide these documents upon Shipper's request); and
- B. SHIPPER, to satisfy some of its transportation needs, desires to utilize the services of BROKER to arrange for transportation of Shipper's freight.
- C. Parties understand and agree this agreement is intended to cover exempt and non-exempt freight. NOW, THEREFORE, intending to be legally bound, BROKER and SHIPPER agree as follows:

AGREEMENT

- 1. TERM. Subject to Paragraph 12, the term of this Agreement shall be one (1) year, commencing on the date shown above, and shall automatically renew for successive one-year periods; provided that either Party may terminate this Agreement on 30 days' written notice to the other Party, with or without cause, or as otherwise provided in this Agreement.
- 2. SERVICE. BROKER agrees to arrange for transportation of Shipper's freight pursuant to the terms and conditions of this Agreement and in compliance in all material respects with all federal, state, and local laws and regulations relating to the brokerage of the freight covered by this Agreement. Broker's responsibility under this Agreement shall be limited to arranging for, but not actually performing, transportation of Shipper's freight. The Parties may, upon written mutual agreement, include additional service terms to be attached as Appendix C.
- 3. VOLUME.
 - A. SHIPPER agrees to tender a minimum of three (3) shipments per year to BROKER, and BROKER agrees to arrange for the transportation of said shipments, as well as any other shipments offered by SHIPPER. SHIPPER is not restricted from tendering freight to other brokers, freight forwarders, third-party logistics providers, or directly to motor carriers. BROKER is not restricted from arranging transportation of freight for other parties.
 - B. SHIPPER shall be responsible to BROKER for: Timely and accurate delivery specifications and description of the cargo, including, but not limited to, dimensions, weight, any special handling or security requirements, temperature requirements, and employing reasonable security protocols to reduce the risk of cargo theft.





4. **FREIGHT CARRIAGE. BROKER** warrants that it has entered into, or will enter into, bilateral written contracts with each carrier it engages to perform the transportation services required by this Agreement. BROKER further warrants that those contracts comply with all applicable federal, state, and local laws and regulations and shall include (but not be limited to) the substance of the following terms:

- A. Carrier is in, and shall maintain compliance during the term of this Agreement, with all applicable federal, state, and local laws relating to the provision of its services including, but not limited to:
1. transportation of Hazardous Materials, (including the licensing and training of drivers), as defined in 49 C.F.R. §172.800, §173, and §397 et seq. to the extent that any shipments hereunder constitute Hazardous Materials;
 2. security regulations;
 3. owner/operator lease regulations;
 4. loading and securement freight regulations;
 5. implementation and maintenance of driver safety regulations including, but not limited to, hiring, controlled substances, and hours-of-service regulations;
 6. sanitation, temperature, and contamination-preventive requirements for transporting food, perishable, and other products;
 7. qualification and licensing and training of drivers;
 8. implementation and maintenance of equipment safety regulations;
 9. maintenance and control of the means and method of transportation including, but not limited to, performance of its drivers;
 10. all registration, licensing, and insurance requirements required to perform the services.

B. BROKER agrees that if any of the above items above A (1-10) are not in compliance by the CARRIER this would make the BROKER responsible for any issues that may arise in during the transportation process therefore if CARRIER is in compliance and BROKER verifies this information through proper documentation CARRIER shall agree to defend, indemnify, and hold BROKER and SHIPPER harmless from all damages, claims or losses arising out of its performance of the Agreement, including cargo loss and damage, theft, delay, damage to property, and personal injury or death.

C. Carrier shall agree that its liability for exempt commodities and processing for cargo loss, damage, and delay shall be determined by 49 U.S. Code § 14706 (“The Carmack Amendment”) Exclusions in carrier’s insurance coverage shall not exonerate carrier from this liability. No limitation of carrier's liability shall apply, unless BROKER first obtains the express written consent of SHIPPER.

D. Carrier shall agree to maintain at all times during the term of the contract, insurance coverage with limits not less than the following:

- General Liability - \$1,000,000
- Auto Liability - \$1,000,000
- Cargo Liability - \$100,000
- Worker’s Compensation - as required by law.





BROKER shall verify that each carrier it utilizes in the performance of this Agreement has insurance coverage as defined above.

Carrier shall agree that the provisions contained in 49 CFR 370.1, et seq., shall govern the processing of regulated freight claims (except for exempt commodities which shall be subject to the terms of 49 U.S. Code § 14706 ("The Carmack Amendment") for loss, damage, injury or delay to property and the processing of salvage.

BROKER and carrier agree that BROKER is the sole party responsible for payment of carrier's charges. Failure of BROKER to collect payment from its customer shall not exonerate BROKER of its obligation to pay carrier. BROKER agrees to pay carrier's undisputed invoice within 30 days of receipt of the bill of lading or proof of delivery, provided carrier is not in default under the terms of this Agreement. If BROKER has not paid carrier's undisputed invoice as agreed, and carrier has complied with the terms of this Agreement, carrier may seek payment from the SHIPPER or other party responsible for payment after giving BROKER 7 (business days) advance written notice, except that carrier shall not seek payment from SHIPPER or any other Party responsible for payment if SHIPPER or such other Party can prove payment to BROKER.

G. Carrier agrees that at no time during the term of this contract with BROKER, shall it have an "Unsatisfactory" safety rating as determined by the Federal Motor Carrier Safety Administration (FMCSA), and that it has no knowledge of any threatened or pending interventions by FMCSA; if carrier receives an "Unsatisfactory" safety rating, or a rating has changed from "Satisfactory" to "Conditional" or if any future safety rating has otherwise been downgraded by FMCSA, it shall immediately notify BROKER and shall not transport any freight hereunder without Broker's prior written consent. The provisions of this paragraph are intended to include safety rating designations which may replace those above, which are subject to change by FMCSA at any time.

H. Carrier shall agree that the terms and conditions of its contract with BROKER shall apply on all shipments it handles for BROKER. Any tariff terms published by carrier which are inconsistent with the contract shall be subordinate to the terms of the contract.

I. Carrier shall expressly waive all rights and remedies under Title 49 U.S.C., Subtitle IV, Part B to the extent they conflict with the contract.

J. Carrier will not re-broker, assign, or subcontract the shipments without prior written consent of BROKER. If Carrier breaches this provision, BROKER shall have the right of paying the monies it owes its contracted Carrier, directly to the delivering carrier in lieu of payments to its contracted Carrier. Upon Broker's payment to delivering carrier, the contracted Carrier shall not be released from any liability to BROKER under this Agreement, or from liability under 49 U.S.C. §14916.

K. On behalf of the SHIPPER, consignee and broker interests, to the extent that any shipments subject to this Agreement are transported within the State of California, CARRIER warrants that they are in compliance with all California Air Resources Board regulations. Carrier shall be liable to BROKER and SHIPPER for any penalties, or any other liability, imposed on or assumed by BROKER or SHIPPER because of Carrier's use of non-compliant equipment.





5. RECEIPTS AND BILLS OF LADING. If requested by SHIPPER, BROKER agrees to provide SHIPPER with proof of acceptance and delivery of shipments in the form of a signed Bill of Lading or Proof of Delivery via US Mail, courier, or electronically by fax or email. Shipper's insertion of Broker's name on the bill of lading shall be for Shipper's convenience only and shall not change Broker's status as a property broker. The terms and conditions of any freight documentation used by BROKER or carrier selected by BROKER may not supplement, alter, or modify the terms of this Agreement. You must report paid lumber's within 24 hours of delivery to receive reimbursement.

6. If in need of a comcheck issues by Van Groningen & Sons, Inc. there will be an automatic \$10 fee per issued check deducted from payment.

7. All Costco deliveries must report delivery sticker within 24 hours of delivery to eliminate issues.

8. PAYMENTS. BROKER shall invoice SHIPPER for its services in accordance with the rates, charges and provisions set forth in Appendix D attached, All rates are to be negotiated in writing between the PARTIES, such rates shall be considered "confirmed," and shall be binding, upon Broker's invoice to SHIPPER and Shipper's payment to BROKER. Any rates that are not agreed upon in writing will not be binding. Any accessorial including detention that is not agreed upon in writing via rate confirmation or email/text message will not be considered binding. Delivery appointments that are missed or late are subject to a fine or penalty that is appropriated by the receiver or sales dependent upon the circumstances. Any truck that is going to be late must notify DISPATCH@VGANDSONS.COM prior to appointment time in order to avoid a penalty. SHIPPER agrees to pay Broker's invoice within 30 days of invoice date without deduction or setoff. BROKER shall apply payment to the amount due for the specified invoice, regardless whether there are earlier unpaid invoices. Payment of the freight charges to BROKER shall relieve SHIPPER, Consignee or other responsible party of any liability to the carrier for non-payment of its freight charges. BROKER shall indemnify SHIPPER from and against any claim for freight payment brought by carrier against SHIPPER when SHIPPER has paid BROKER and BROKER has failed to pay carrier.

9. CLAIMS

A. i. Exempt Freight Claims shall be subject to the terms of 49 U.S. Code 14706 "The Carmack Amendment"

ii. Freight Claims: SHIPPER must file claims for cargo loss or damage with BROKER within one hundred eighty (180) days from the date of such loss, shortage or damage, which for purposes of the Agreement shall be the delivery date or, in the event of non-delivery, the scheduled delivery date. SHIPPER must file any civil action against BROKER in a Court of Law (or commence arbitration) within two (2) years from the from the date the carrier or BROKER provides written notice to SHIPPER that the carrier has disallowed any part of th claim in the notice.

Carriers utilized by BROKER shall agree in writing with BROKER to be liable for cargo loss or damage as outlined in paragraph 4.C above. The carriers' cargo liability for any one shipment shall not exceed \$100,000 unless BROKER is notified by SHIPPER of the increased value prior to shipment pickup and with reasonable advance notice to allow BROKER and/or the carrier to procure additional insurance coverage. It is understood and agreed that the BROKER is not a carrier and that the BROKER shall not be held liable for loss, damage, or delay in the transportation of Shipper's property unless caused by Broker's negligent acts or omissions in the performance of this Agreement. Including but not limited to: wrong appointment time on the rate confirmation submitted to CARRIER and or BROKER does not verify the carrier is in compliance with federal laws regarding insurance requirements and or a satisfactory





safety rating. BROKER shall assist SHIPPER in the filing and/or processing of claims with the carrier. If payment of a claim is made by BROKER to SHIPPER, SHIPPER automatically assigns its rights and interest in the claim to BROKER.

In no event shall BROKER or Broker's contracted Carrier be liable to SHIPPER for special, incidental, or consequential damages that relate to loss, damage or delay to a shipment, unless SHIPPER has informed BROKER in written or electronic form prior to or when offering a shipment or series of shipments to BROKER, of the potential nature, type, and approximate amount of such damages, and BROKER specifically agrees in written or electronic form to accept responsibility for such damages.

iii. Loads containing both exempt and regulated freight shall default to the regulations for non-exempt freight under 49 U.S.C. §14706.

B. All Other Claims: The Parties shall notify each other of all known material details of any claims within sixty (60) days of receiving notice of any claims other than cargo loss or damage claims, and shall update each other promptly thereafter as more information becomes available. Civil actions or arbitration, if any, shall be commenced within two (2) years from the date either Party provides written notice to the other Party of such a claim.

8. INSURANCE. BROKER agrees to procure and maintain at its own expense, at all times during the term of this agreement one of the following insurance coverage amounts in addition to having verified that the carrier hired for each shipment has proper insurance: After Carrier's insurance responsibilities have been exhausted and or the BROKER is proven to have neglected it's responsibility to confirm the CARRIER has proper insurance and satisfactory safety rating the Broker's insurance will come into effect in order to offset any claim amount.

- Comprehensive general liability insurance covering bodily injury and property damage - \$1,000,000
- Contingent Cargo Insurance - \$100,000
- Errors and Omissions Insurance - \$250,000
- Contingent Auto Liability or Hired and Non-owned Auto Liability Insurance \$1,000,000

BROKER shall submit to SHIPPER a certificate of insurance as evidence of such coverage and which names SHIPPER as "Certificate Holder".

9. SURETY BOND. BROKER shall maintain a surety bond or trust fund agreement as required by the Federal Motor Carrier Safety Administration in the amount of at least \$75,000 or as otherwise required by the FMCSA and furnish SHIPPER with proof upon request.

10. HAZARDOUS MATERIALS. SHIPPER shall comply with all applicable laws and regulations relating to the transportation of hazardous materials as defined in 49 CFR §172.800, §173, and § 397 et seq. to the extent that any shipments constitute hazardous materials. SHIPPER is obligated to inform BROKER immediately if any such shipments constitute hazardous materials. SHIPPER shall defend, indemnify and hold BROKER harmless from any penalties or liability of any kind, including reasonable attorney fees, arising directly out of Shipper's failure to comply with applicable hazardous materials laws and regulations.





11. HOMELAND SECURITY. As applicable to each, respectively, BROKER and SHIPPER shall comply with federal, state, and local Homeland Security related laws and regulations.

12. "CURE"/DEFAULT.

A. Both Parties will discuss any perceived deficiency in performance and will promptly endeavor to resolve all disputes in good faith. However, if either Party materially fails to perform its duties under this Agreement, the Party claiming default for a cause other than those listed in Section 12.B, may terminate this Agreement on 10 (ten) days prior written notice to the other Party. SHIPPER shall be responsible to pay BROKER for any services performed prior to the termination of this Agreement, including shipments scheduled and in transit on the date of termination, if ultimately delivered and properly invoiced to SHIPPER.

B. Default: The following actions, in addition to any other material breach described elsewhere in this Agreement, shall each constitute a material breach of this Agreement:

1. Either Party files a voluntary petition under Chapter 7 or 11 of the U.S. Bankruptcy Code, or any equivalent state law; or such a petition is filed against the Party, under federal or state law, which is not dismissed within 60 days.

2. Broker's license(s) required for BROKER to perform its obligations under this Agreement is revoked, canceled, suspended, or discontinued for any reason.

C. In the event of the occurrence of any breach (es) listed in this Section 12.B, the non-breaching party may terminate this Agreement effective immediately upon written notice to the breaching party.

13. INDEMNIFICATION. Subject to the monetary insurance limits in Section 8, BROKER and SHIPPER shall defend, indemnify and hold each other harmless from and against any claims actions or damages, including, but not limited to cargo loss, damage, or delay and payment of rates and/or accessorial charges to carriers, arising out of their respective performances under this Agreement, provided, however, the indemnified party shall not offer settlement in any such claim without the agreement of the indemnifying party which agreement shall not be unreasonably withheld. If the indemnified party offers or agrees to a settlement for such a claim without the written agreement of the indemnifying party, the indemnifying party shall be relieved of its indemnification obligation. Neither Party shall be liable to the other Party for any claims, actions or damages due to such other Party's own negligence or intentional acts. Failure of insurance coverage, for any reason, shall not exonerate either party from its indemnity obligations hereunder. The obligation to defend shall include all costs of defense as they accrue.

14. ASSIGNMENT/MODIFICATIONS OF AGREEMENT. Neither Party may assign or transfer this Agreement, in whole or in part, without the prior written consent of the other Party. No amendment or modification of the terms of this Agreement shall be binding unless in writing and signed by the Parties.

15. SEVERABILITY/SURVIVABILITY. In the event that the operation of any portion of this Agreement results in a violation of any law, or any provision is determined by a court of competent jurisdiction to be invalid or unenforceable, the Parties agree that such portion or provision shall be severable and that





the remaining provisions of the Agreement shall continue in full force and effect. The representations and obligations of the Parties shall survive the termination of this Agreement for any reason.

16. INDEPENDENT CONTRACTOR. It is understood between BROKER and SHIPPER that BROKER is not an agent for the carrier or SHIPPER and shall remain at all times an independent contractor. SHIPPER does not exercise or retain any control or supervision over BROKER, its operations, employees, or carriers.

17. NONWAIVER. Failure of either Party to insist upon performance of any of the terms, conditions or provisions of this Agreement, or to exercise any right or privilege herein, or the waiver of any breach of any of the terms, conditions or provisions of this Agreement, shall not be construed as thereafter waiving any such terms, conditions, provisions, rights, or privileges, but the same shall continue and remain in full force and effect as if no forbearance or waiver had occurred.

18. NOTICES. Unless the Parties notify each other in writing of a change of address, any and all notices required or permitted to be given under this Agreement shall be made in writing and shall be delivered via fax with machine imprint on paper acknowledging successful transmission or email with confirmed receipt), and shall be effective when so delivered to the addresses as follows:

(SHIPPER) <u>VAN GRONINGEN & SONS, INC</u>	(BROKER) _____
ATTN: <u>DAVID BRINGAS/KATELYN WILTON</u>	ATTN: _____
ADDRESS: <u>15100 SOUTH JACK TONE RD-</u>	ADDRESS: _____
<u>MANTECA, CA95336</u>	
PHONE: <u>209-982-5248</u>	PHONE: _____
FAX: <u>209-983-9014</u>	FAX: _____
EMAIL: <u>DISPATCH@VGANDSONS.COM</u>	EMAIL: _____

19. FORCE MAJEURE. Neither Party shall be liable to the other for failure to perform any of its obligations under this Agreement during any time in which such performance is prevented by fire, flood, or other natural disaster, war, embargo, riot, civil disobedience, or the intervention of any government authority, or any other cause outside of the reasonable control of the SHIPPER or BROKER, provided that the Party so prevented uses its best efforts to perform under this Agreement and provided further, that such Party provide reasonable notice to the other Party of such inability to perform.

20. CHOICE OF LAW AND VENUE. All questions concerning the construction, interpretation, validity and enforceability of this Agreement, whether in a court of law or in arbitration, shall be governed by and construed and enforced in accordance with the laws of the State of California without giving effect to any choice or conflict of law provision or rule that would cause the laws of any other jurisdiction to apply.

21. DISPUTE RESOLUTION





In the event of a dispute, either Party may declare a “negotiation period” by giving written notice to the other Party. Upon the issuance of such notice, each Party shall appoint a representative to negotiate a settlement of the issue. If the appointed representatives cannot reach agreement on a settlement within 30 days of the date of such declaration, the Parties shall resolve the dispute through the option selected below. In the event no selection is made for Paragraphs A, B or C below, disputes shall be resolved by the preference of the first party to file the dispute.

Note: Where both parties to this agreement are members of the Fruit and Vegetable Dispute Resolution Corporation (DRC) they are bound exclusively to arbitration administered by DRC in accordance with its published rules and procedures for exempt produce transactions. Please consult your membership agreement and legal counsel.

A. ARBITRATION:

In the event of a dispute arising out of this Agreement, the Party’s sole recourse shall be to arbitration within two years from the date of the alleged loss. Proceedings shall be conducted under the rules of the DRC or the Blue Book for exempt commodities, or Transportation Arbitration and Mediation PLLC (TAM), the American Arbitration Association (AAA) or Transportation ADR Council, Inc. (ADR) for regulated commodities at the discretion of the party filing the demand for arbitration. Upon agreement of the Parties, Arbitration proceedings may be conducted outside of the administrative control of the DRC, Blue Book, TAM, AAA or ADR; arbitration proceedings may be conducted by tele-conference or video- conference. The decision of the arbitrators shall be binding and final and the award of the arbitrator maybe entered in a court of competent jurisdiction. The prevailing party shall be entitled to recovery of costs, expenses and reasonable attorney fees as well as those incurred in any action for appeal from the award or in the event further legal action is taken to enforce the award of arbitrators. The arbitration provisions of this paragraph shall not apply to enforcement of the award of arbitration.

B. COMBINATION ARBITRATION/LITIGATION:

Subject to the time limitations set forth in Par. 7 above, for disputes where the amount in controversy exceeds \$_____ the Parties shall have the right, but not the obligation, to select litigation in order to resolve any disputes arising hereunder. In the event of litigation the prevailing Party shall be entitled to recover costs, expenses and reasonable attorney fees, including but not limited to any incurred on appeals.

C. LITIGATION:

In the event of a dispute arising out of this Agreement, the Party’s sole recourse shall be litigation which shall be filed in accordance with paragraph 20 above within two years from the date of the alleged loss. The prevailing party shall be entitled to recovery of costs, expenses, and reasonable attorney fees, as well those incurred in any action for injunctive relief.

D. Where both parties to this agreement are members of the Fruit and Vegetable Dispute Resolution Corporation (DRC) they are bound exclusively to arbitration administered by DRC in accordance with its published rules and procedures for exempt produce transactions. Please consult your membership agreement and legal counsel





22. CONFIDENTIALITY. Other than as required to comply with law or legal process requiring disclosure, the Parties agree to the following:

BROKER shall not utilize Shipper’s name or identity in any advertising or promotional communications without written confirmation of Shipper’s consent and the Parties shall not publish, use or disclose the contents or existence of this Agreement except as necessary to conduct their operations pursuant to this Agreement. BROKER will require its carriers and/or other brokers to comply with this confidentiality clause.

B. In addition to Confidential Information protected by law, statutory or otherwise, the Parties

agree that all of their financial information and that of their customers, including but not limited to freight and brokerage rates, amounts received for brokerage services, amounts of freight charges collected, freight volume requirements, as well as personal customer information, customer shipping, or other logistics requirements shared or learned between the Parties and their customers, shall be treated as Confidential, and shall not be disclosed or used for any reason without prior written consent.

23. ENTIRE AGREEMENT This Agreement, including all Appendices and Addenda, constitutes the entire agreement intended by and between the Parties and supersedes all prior agreements, representations, warranties, statements, promises, information, arrangements, and understandings, whether oral, written, expressed or implied, with respect to the subject matter hereof. The Parties further intend that this Agreement constitutes the complete and exclusive statement of its terms and that no extrinsic evidence may be introduced to reform this Agreement in any judicial or arbitration proceeding involving this Agreement.

IN WITNESS WHEREOF, the Parties hereto have caused this Agreement to be executed in their respective names by their fully-authorized representatives as of the dates first above written.

SHIPPER – VAN GRONINGEN AND SONS, INC

BROKER - _____

SIGN - _____

SIGN - _____

PRINTED NAME - _____

PRINTED NAME - _____

TITLE - _____

TITLE - _____



Request for Taxpayer Identification Number and Certification

**Give Form to the
requester. Do not
send to the IRS.**

▶ Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type.
See Specific Instructions on page 3.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
2 Business name/disregarded entity name, if different from above	
3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
<input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate	Exempt payee code (if any) _____
<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.	Exemption from FATCA reporting code (if any) _____
<input type="checkbox"/> Other (see instructions) ▶	<small>(Applies to accounts maintained outside the U.S.)</small>
5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional) VAN GRONINGEN & SONS, INC 15100 SOUTH JACK TONE ROAD MANTECA, CA 95336
6 City, state, and ZIP code	
7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number					
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 40%; border: 1px solid black; height: 20px;"></td> </tr> </table>		-		-	
	-		-		
or					
Employer identification number					
<table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%; border: 1px solid black; height: 20px;"></td> <td style="width: 5%; text-align: center;">-</td> <td style="width: 70%; border: 1px solid black; height: 20px;"></td> </tr> </table>		-			
	-				

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ▶	Date ▶
------------------	----------------------------	--------

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
 - Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
 - Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
 - Form 1099-S (proceeds from real estate transactions)
 - Form 1099-K (merchant card and third party network transactions)
 - Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
 - Form 1099-C (canceled debt)
 - Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*